

10/29/01  
J1062 U.S. PTO

01/23/02

Page 1 of 2

COPY OF PAPERS  
ORIGINALLY FILED

REQUEST FOR FILING APPLICATION  
Under Rule 53(a), (b) & (f)  
(No Filing Fee or Oath/Declaration)  
(Do NOT use for Provisional or PCT Applications)  
Use for Design or Utility Applications

J1000 U.S. PRO  
10/05/2001  
PATENT  
APPLICATION

## RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt. P 027 7021 | H7643US  
M# Cler Ref

Sir:

Date: October 29, 2001

1. This is a Request for filing a new Patent Application ( Design  Utility) entitled:

2. (Complete) Title: **METHOD OF PRINTING LABEL ON OPTICAL DISK, OPTICAL DISK UNIT, AND  
OPTICAL DISK**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3.  Abstract 1 page(s).

4. 46 Pages of Specification (only spec. and claims); 5.  Specification in non-English language

6. 29 Numbered claim(s); and

7.  Drawings: 15 sheet(s)  1 set informal; 8.  formal of size:  A4  11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-330359	October 30, 2000	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11.        (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No.       /       filed on \_\_\_\_\_

12.  This is a reissue of Patent No. \_\_\_\_\_

13.  See top first page re prior Provisional, National, International application(s) (X box only if info is  
there and do not complete corresponding item 14 or 15.)

14.  **Amend the specification** by inserting before the first line -- This is a  Continuation-in-Part  
 Divisional  Continuation  Substitute Application (MPEP 201.09) of:

14(a)  National Appln. No.       /       filed       . . . (M#      )

14(b)  International Appln. No. PCT/       filed \_\_\_\_\_ which  
designated the U.S., and that International Application  was  was not published  
under PCT Article 21(2) in English.--

15.  **Amend the specification** by inserting before the first line: --This application  
claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_, filed \_\_\_\_--

16. Extension to date:  concurrently filed  not needed  previously filed

17.  Small Entity Status is claimed (pre-filing confirmation required)

17(a)  Attached: (No.) Small Entity Statement(s) (Since 9/8/00 Small Entity Statement not

18.  Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19.  Attached:20. This application is made by the following named inventor(s) **(Double check instructions for accuracy.):**  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Kazuhiro	HONDA	
	First	Middle Initial	Family Name
Residence	Shizuoka-Ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	c/o Yamaha Corporation, 10-1 Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan		
(include Zip Code)			

(2) Inventor	Tamon	KONDO	
	First	Middle Initial	Family Name
Residence	Shizuoka-Ken	Japan	Japan
	City	State/Foreign Country	Country of Citizenship
Mailing Address	350-15, Ohse-cho, Hamamatsu-shi, Shizuoka-ken, Japan		
(include Zip Code)			

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

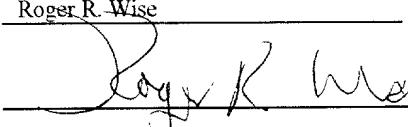
21. NOTE: FOR ADDITIONAL INVENTORS, check box  and attach sheet with same information regarding additional inventors.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

725 South Figueroa Street, Suite 2800. By: Atty: Roger R. Wise Reg. No. 31204  
Los Angeles, CA 90017-5406

Tel: (213) 488-7100

Atty/Sec: RRW/jes

Sig: Fax: (213) 629-1033  
Tel: (213) 488-7584NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

0/29/01  
62 U.S. PTO

PATENT  
027 7021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application  
HONDA, et al.

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: October 29, 2001

For: METHOD OF PRINTING LABEL ON OPTICAL DISK,  
OPTICAL DISK UNIT, AND OPTICAL DISK

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 912 704 US

Date of Deposit: October 29, 2001

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

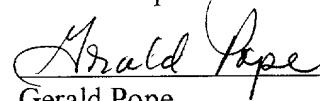
- Letter of transmittal
- Patent application (46 pages of specification; 29 claims; 1 pages of abstract)
- 15 sheets of informal drawings
- Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

October 29, 2001

Date of Deposit

  
Gerald Pope